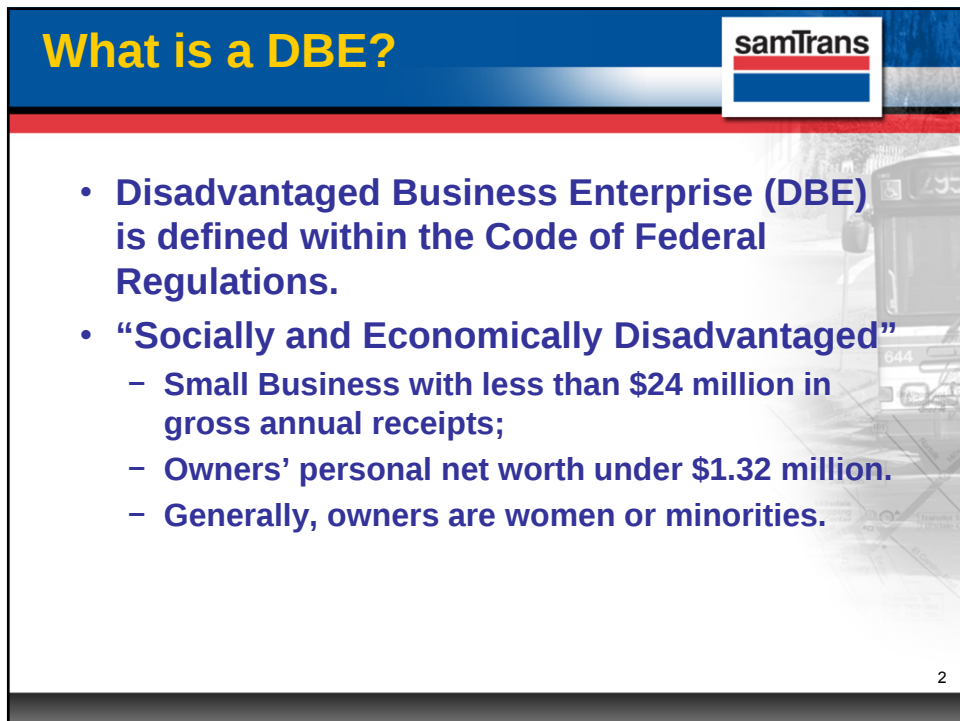




Disadvantaged Business Enterprise Program: Goal Setting Methodology

May 2016 SamTrans Board of Directors
May 4, 2016
Finance Agenda Item #7



What is a DBE?

- Disadvantaged Business Enterprise (DBE) is defined within the Code of Federal Regulations.
- “Socially and Economically Disadvantaged”
 - Small Business with less than \$24 million in gross annual receipts;
 - Owners’ personal net worth under \$1.32 million.
 - Generally, owners are women or minorities.

2

DBE Goal Setting



- DBE goals are set for three federal fiscal years.
 - Current goal for FFY 2014-2016 is 1%.
 - DBE goals are set for federally funded contracting opportunities only.
 - DBE goals do not include contracting for Transit Vehicle Manufacturers.
- Goals should represent the availability of ready, willing, and able DBEs on projected federally funded contracting opportunities.

3

DBE Goal Setting Methodology



- Methodology is prescribed by federal regulations.
- All DOT funded agencies follow the same guidelines to reach a goal.
- SamTrans partners with VTA, SFMTA, BART, AC Transit, and other Bay Area partners to review goal setting practices with the public.

4

Base Figure

The samTrans logo, featuring the word "samTrans" in a sans-serif font with a red and blue horizontal bar below it.

- Define types of work on upcoming contracts.
- Identify DBE availability for each type of work.
 - Four FTA funded contracting opportunities forecasted for the next three years.
 - Two are for Transit Vehicle Manufacturers (excluded from goal setting).
 - Two are for Minivan purchases; there are no market area DBE dealers or wholesalers.

5

Adjustments to Base Figure

The samTrans logo, featuring the word "samTrans" in a sans-serif font with a red and blue horizontal bar below it.

- Public comments
 - Events held April 12th and May 3rd in conjunction with other Bay Area Transportation Agencies.
- Past DBE participation
 - No past bids received for vehicle purchases.
- Disparity Study findings
 - No findings support an adjustment for vehicle purchases.

6

Next Steps



- 0% Proposed DBE Goal for Federal Fiscal Years 2017-2019
- The DBE Goal will be advertised to the public for comments.
- After public comment, the DBE Goal setting methodology will be presented at the June 2016 Board for approval
- A final DBE goal and methodology explanation are due to the FTA by August 1st.

7

Next Steps (cont'd)



- 2016 Joint Disparity Study with SamTrans, PCJPB, and VTA.
 - Seeking input from DBEs on actual availability.
 - First outreach at VTA on May 3rd, additional outreach in San Mateo and San Francisco TBD.
 - New availability figures scheduled to be available by May 2017; may be used to adjust current goals.

8

Questions?

samTrans

- John Barker – Manager, Civil Rights Programs
- Elke Campbell – DBE Officer